

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yamazaki, et al. Art Unit : 2775  
Serial No.: 09/504,235 Examiner : Unknown  
Filed : February 15, 2000  
Title : METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

#6

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/11/01

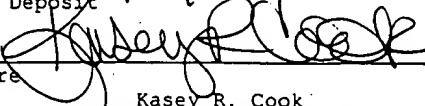
  
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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 07977-008004	Application No. 09/504,235
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Shunpei Yamazaki, Yasuyuki Arai, and Satoshi Teramoto			
		Filing Date February 15, 2000		Group Art Unit 2775	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,346,850	Sep. 13, 1994	Kaschmitter et al			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

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Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977/008004/US2938D1D2	Application No. Unknown
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Shunpei Yamazaki, et al.		
		Filing Date Herewith / 2-15-00	Group Art Unit	
(37 CFR §1.98(b))				

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,341,012	8/23/94	Misawa, et al.			
	AB	5,576,868	11/19/96	S. Togashi			
	AC	5,576,869	11/19/96	H. Yoshida			
	AD	5,701,167	12/23/97	S. Yamazaki			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AL						
	AM						
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	AO						
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**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
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Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977/008002/US2938D1	Application No. 09/013,960
<b>Information Disclosure Statement</b> by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Shunpei Yamazaki, et al.		
		Filing Date January 27, 1998	Group Art Unit 2822	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,643,526	2/17/87	Watanabe, et al.			
	AB	5,261,156	11/16/93	Mase, et al.			
	AC	5,339,180	8/16/94	T. Katoh			
	AD	5,376,561	12/27/94	Vu, et al.			
	AE	5,436,744	7/25/95	Arledge, et al.			
	AF	5,572,046	11/5/96	Y. Takemura			
	AG	5,596,023	1/21/97	Tsubota, et al.			
	AH	5,646,432	7/8/97	Iwaki, et al.			
	AI	5,654,811	8/5/97	Spitzer, et al.			
	AJ	5,672,518	9/30/97	Hayashi, et al.			
	AK	5,757,456	5/26/98	Yamazaki, et al.			
		5,759,878	6/2/98	Hayashi, et al.			
		5,766,977	6/16/98	S. Yamazaki			
		5,781,164	7/14/98	Jacobsen, et al.			
		5,786,242	7/28/98	Takemura, et al.			
		5,821,138	10/13/98	Yamazaki, et al.			
		5,834,327	11/10/98	Yamazaki, et al.			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
	AL						Yes      No

**Other Documents (include Author, Title, Date, and Place of Publication)**

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	AR	

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## PATENT

ATTORNEY DOCKET NO: 09997-008004

The Patent and Trademark Office date stamp sets forth the date of receipt of:

Applicant or Pattee Yamazaki, et al.  
No. (Application, Appeal, Interference, Patent, Reexam) 09/504,235  
Filing or Issue Date February 15, 2000

Title: METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

Transmittal Letter (2 Copies)     With Pet. for Ext.

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Amendment/Response \_\_\_\_\_ Pages     Declaration

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Information Disclosure Statement     Appeal Brief (3 Copies)

PTO 1449 Form- \_\_\_\_\_ Pages     Petition for Extension Time

Prior Art References-Number of References \_\_\_\_\_

Drawings \_\_\_\_\_ Sheets Formal \_\_\_\_\_ Sheets Informal \_\_\_\_\_ Sheets Amended

Notice of Missing Parts

Combined Declaration and Power of Attorney

Small Entity Statement

Other \_\_\_\_\_

Atty/Sec.        Client/  
Initials        Matter Name SEL

Date 01/11/01



RE FILED  
JAN 30 2001  
FISH & RICHARDSON, P.C.  
LA JOLLA, CA